Docket No.: 20142/1201318-US1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David Thomas et al.

Application No.: 09/773,866 Confirmation No.: 3286

Filed: February 1, 2001 Art Unit: 1644

For: CD40-BINDING APC-ACTIVATING Examiner: P. Gambel

MOLECULES

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered.

I hereby certify that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information

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contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: October 12, 2005

Respectfully submitted,

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|---|-----------------|---------|--------------|------------------------|------------------------|--|
|   |                 |         |              | Application Number     | 09/773,866-Conf. #3286 |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT |                 |         |              | Filing Date            | February 1, 2001       |  |
|   |                 |         |              | First Named Inventor   | David Thomas           |  |
|   |                 |         |              | Art Unit               | 1644                   |  |
|   | (Use as many sh | eets as | s necessary) | Examiner Name          | P. Gambel              |  |
| Sheet   | 1               | of      | 1            | Attorney Docket Number | 20142/1201318-US1      |  |

| U.S. PATENT DOCUMENTS |              |  |                                |  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| FOREIGN PATENT DOCUMENTS |              |   |                                   |                             |   |   |
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| Examiner                 | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or         | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |   |
| Initials*                |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   | Applicant of Cited Document |   |   |
|                          |              |   |                                   |                             |   |   |
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| NON PATENT LITERATURE DOCUMENTS |              |  |                |  |  |  |
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| Examiner<br>Initials            | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T <sup>2</sup> |  |  |  |
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|                                 | СВ           | Dullforce, P. et al., "Enhancement Of T Cell-Independent Immune Responses In Vivo By CD40 Antibodies"; <i>Nature Medicine Nature Publishing Co., US</i> , vol. 4, no. 1, pages 88-91; January 1998 (1998-01). XP009026323 ISSN: 1078-8956.   |                |  |  |  |
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|                                 |              |  |                |  |  |  |

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|-----------------------------------|------------|--|
| }Examiner                         | Considered |  |

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